

MDOT Subcontract Compliance

Sec 108.01 Standard Specifications / 1302A Subcontract

Prompt Pay

2124A Biweekly reporting

1386 Post Certification



Chris Roe – MDOT
Subcontract Compliance
(517) 202-3716

108.01 Subcontracting of Contract Work

108.01. Subcontracting of Contract Work. No portion of the contract may be subcontracted, other than the providing of necessary materials, except as provided for in the Department's procedures for subcontracting. Subcontracting any portion of the work does not relieve the Contractor of full responsibility for the performance of the contract. Written consent of the Department is required to sell or assign any portion of the contract.

The Contractor must use its own organization to perform work amounting to at least 40 percent of the original contract amount. The phrase "its own organization" includes only workers employed and paid directly, inclusive of employees who are employed by a lease agreement acceptable to the Department, and equipment owned or rented with or without operators; and does not include employees or equipment of a subcontractor, assignee, or agent of the Contractor. All items identified as Designated or as Specialty Classifications may be performed by subcontract. The amount of Specialty Classification work performed may be deducted from the original total contract price before computing the amount of work required to be performed by the Contractor's own organization. The 60 percent available for subcontracting must include work identified in the contract as designated classifications and all other work, except specialty classifications.

The Department will determine the value of subcontracted work by multiplying the number of units of a subcontracted pay item by the contract unit price for that pay item. If any portion of a pay item is subcontracted, only that portion of the work to be performed by a subcontractor will be used for determining the percentage of the total work subcontracted. The Department will determine if the subdivision of the subcontracted pay item and the unit price is reasonable. Bonds furnished by the subcontractor do not reduce the Contractor's bonding requirements.

The Contractor must only issue subcontracts to subcontractors that are prequalified by the Department to perform the classification of work proposed, if applicable. The Contractor must submit the subcontract cover page and pay items to the Engineer responsible for the administration of the contract, before the start of the work associated with the subcontract. The Department's prequalification of the subcontractor is for the benefit of the Department and is not for the

benefit of the Contractor or any other person. The Department's prequalification is not a guarantee or warranty of the subcontractor's ability to perform or complete the subcontracted work. Before final acceptance, the Contractor must certify that the Contractor has met the subcontracting requirements using Form 1386 *Post Certification of Subcontract Compliance*. The Contractor must itemize the name of each subcontractor, the amount of each subcontract, and the amount paid for each subcontract.

No subcontractor or supplier may maintain an action against the Department for payment relating to the work; any such action must be brought against the Contractor or other responsible party.

A subcontractor must perform not less than 50 percent of the total value of the subcontracted work with the subcontractor's own organization. This requirement is also applicable to and binding upon successive subcontracts.

If any subcontractor is working or subcontractor's equipment is being operated in violation of this subsection, the Engineer may direct the immediate removal of the subcontractor or the subcontractor's equipment. The Contractor is responsible for any costs or damages resulting from such removal. The Contractor's responsibilities in the performance of the work, in case of a subcontract, are the same as if the Contractor performed the subcontracted work with its own organization.

108.01 Subcontracting of Contract Work

Subcontract Requirements

- A Subcontract is required anytime others are performing contract work, with a few exceptions.
- Work Types NOT requiring a Subcontract
 - Delivery of Material
 - Delivery of Traffic Control Devices (Delivery Only)
 - Broker Trucking

108.01 Subcontracting of Contract Work

Subcontract Required

- Work types not requiring subcontracts if the amount of work does not exceed \$25,000.00
 - Flagging Operations
 - Stay in Place Forms
 - Shear Developers
 - Post Tensioning of Beams
 - Pavement Sweeping
 - Concrete Pumping
 - Destructive Testing of Material
- The work above will still be counted toward the 60% maximum allowable for Subcontracting. Needs to be reported on the 1386.

108.01 Subcontracting of Contract Work

Subcontract vs Lease Agreement

- The rented equipment must work with the Contractors work force and cannot work independently from other work crews.
- If the rented equipment has an operator included with the agreement, the work is STILL covered by prevailing wage provisions of the contract and a certified payroll is required for the work performed on the “site of work”.

1302A “Subcontract”

REQUIRED SUBCONTRACT ATTACHMENTS:

ANY APPLICABLE ADDENDA
PROMPT PAYMENT SPECIAL PROVISION (SP 109 A)
NOTICE TO BIDDER NB 14
APPENDIX A
APPENDIX C
NOTICE OF REQUIREMENT FOR AFFIRMATIVE ACTION TO ENSURE EQUAL OPPORTUNITY
(FEDERAL FUNDED PROJECTS ONLY) – SUBCONTRACTS OVER \$10,000
FHWA-1273 (FEDERALLY FUNDED PROJECTS ONLY) OR MDOT AERONAUTICS-AIRPORTS
PROGRAM REQUIREMENTS (FEDERALLY FUNDED AERONAUTICS PROJECTS ONLY)
APPLICABLE WAGE RATE SCHEDULE(S)

[Return to Subcontract](#)

[Clear Form](#)

1302A “Subcontract”

MDOT HAS ADOPTED A POLICY THAT THE PRIME CONTRACTOR WILL BE RESPONSIBLE FOR THE SUBCONTRACTING REQUIREMENTS.

- Prime Contractor will keep ALL original subcontracts.

1302A “Subcontract”

- Engineer must enter the date on the cover page when it is received.
 - After entering a date, the cover page MUST be forwarded to CSD.
 - Fax number: 517-241-4193
 - Email: MDOT-awards@michigan.gov
- The Contract Services Division needs to receive this so it can be entered into the MDOT system.
 - This will allow the subcontractor to appear in the 2124A biweekly reporting system (MERS).
- Per the Prompt Pay Special Provision, Prime Contractors are required to electronically report progress payments on a biweekly basis (MERS)

Email Subcontract cover sheet to: MDOT-Awards@Michigan.gov

<p>Michigan Department of Transportation 1302A (12/14)</p>	<p>Subcontract Check Sheet</p>	<p>Clear Page Only</p>	<p>Clear Form</p>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="padding: 2px;">CONTRACT ID</td> </tr> <tr> <td style="padding: 2px;">FEDERAL PROJECT NO. (if applicable)</td> </tr> </table>	CONTRACT ID	FEDERAL PROJECT NO. (if applicable)
CONTRACT ID						
FEDERAL PROJECT NO. (if applicable)						
<h2 style="margin: 0;">SUBCONTRACT</h2> <p style="font-size: small; margin: 0;">Complete and return a copy of pages 1 and 3 to the Administrating Engineer.</p>						
VENDOR NUMBER	PRIME CONTRACTOR					
VENDOR NUMBER	SUBCONTRACTOR					
VENDOR NUMBER	SUB-SUBCONTRACTOR					
<p>TOTAL DOLLAR VALUE OF SUBCONTRACT</p> <p style="font-size: large;">\$ _____</p>		<p>*TOTAL DOLLAR VALUE OF SAME BID ITEMS IN CONTRACTOR'S PROPOSAL <small>(This amount is used to calculate the 80% contract maximum allowable to subcontract)</small></p> <p style="font-size: large;">\$ _____</p> <p style="font-size: x-small;">*In case of a "Partial Item of Work" or "Lump Sum", only include that portion to be performed by the subcontractor.</p>				
<p>In the event of a discrepancy in the contract between the Contractor and the Michigan Department of Transportation covering this project, and this subcontract, the contract between the Contractor and the Michigan Department of Transportation will govern and be the basis for any and all decisions.</p>						
PRIME CONTRACTOR						
<p>I hereby certify that this subcontract is complete and accurate as executed between the parties shown above and that all required attachments, including but not limited to required wage rates and addenda to the proposal are included. I have provided copies of the required attachments to the subcontractor listed above. I have reviewed the information on the form and it is a complete and accurate statement of the work to be performed under this subcontract. This subcontract is in accordance with the current requirement of Section 108.01 of the Standard Specifications for Construction that not less than 40% of the work under the prime contract be performed by my own forces, and is also in accordance with the DBE Participation Plan submitted for this project, if applicable.</p>						
SIGNATURE	NAME & TITLE	DATE				
SUBCONTRACTOR						
<p>I, as the subcontractor, certify that I am prequalified or certified, as required, with the Michigan Department of Transportation to perform those items of work to be performed under this subcontract I have received from the prime contractor all copies of required attachments, including but not limited to required wage rates and addenda to the proposal.</p>						
SIGNATURE	NAME & TITLE	DATE				
SUB-SUBCONTRACTOR						
SIGNATURE	NAME & TITLE	DATE				
<p>ADMINISTRATING ENGINEER USE ONLY</p> <p>DATE RECEIVED BY ENGINEER</p>						

MICHIGAN
DEPARTMENT OF TRANSPORTATION

SPECIAL PROVISION
FOR
PROMPT PAYMENT

CFS:JJG

1 of 4

APPR:JDM:DBP:06-29-15
FHWA:APPR:07-16-15

Add the following subsection to section 109, on page 106, of the Standard Specifications for Construction:

109.08 Prompt Payment.

A. Definitions.

Lower-tier subcontract. An agreement between a subcontractor of any tier and any individual or legal entity to perform a part of the subcontract work.

Lower-tier subcontractor. The individual or legal entity that performs part of the subcontract work through a lower-tier subcontract with a subcontractor.

Supplier. The individual or legal entity that agrees to provide materials or services to the prime Contractor, a subcontractor, or a lower-tier subcontractor for the performance of their contract work.

Sworn Statement. A written verification under oath reflecting all persons or entities, which have furnished labor, equipment, services or materials to a subcontractor or lower-tier

subcontractor for the work associated with their subcontract no later than 10 calendar days from the date the prime Contractor receives payment from the Department.

The Contractor is required to provide payment information for previous payments made to all first tier subcontractors and all DBE companies (sub-subcontractors, suppliers, truckers, etc.) at any tier before the Engineer will release the third and subsequent estimates. For all subsequent progress pay estimates if 1) the Engineer payment does not include any first tier subcontractors or any DBE company payments at any tier, and 2) the previously submitted payment reporting information remains unchanged, then payment reporting in the system is not required. Reporting is required when the prime contractor makes payments to any first tier subcontractors and any DBE companies at any tier. The payment information is provided through submittal of the information via the 2124A reporting system (MERS). System information can be found at the following web link.

http://www.michigan.gov/documents/mdot/Prompt_Payment_2124A_Instructions_MERS_366314_7.pdf

2124A Electronic Reporting (MERS)

- Per the Prompt Pay Special Provision, before a subsequent payment is made to the contractor (an engineer estimate)
 - The prime contractor is required to provide payment information for previous payments made to subcontractors and DBE suppliers.
 - The payment information is provided through submittal in the 2124A reporting system (MERS).
- The Engineer needs to verify that prior payments have been reported by reviewing the previous submitted biweekly data entry.

MDOT - 2124A Bi-Weekly Data Entry

Step #1: Select a Project and a Bi-Weekly Period Ending from the list and calendar control below. Click on the TextBox to open a Calendar control pop up. Then click Go.

Prime Contractors: AJAX PAVING INDUSTRIES, INC. (Vendor#: 00588)

Construction Project: Contract #: 63469-113094 - Road reconstruction and widening including hot mix asphalt

Bi-Weekly Period Ending: 12/4/2012

****Bi-Weekly Period: 12/2/2012 - 12/15/2012.****

Step #2: For each row, click the Edit (pencil) icon to edit, and Save icon to save changes.
NOTE: You can copy forward values from the last submitted report by checking the box at the bottom of the table.
 If there are deductions, the comments column has a button which you can click to view the comment.

The Prime Contractor has submitted subcontractor/supplier payment data for this period.

The Project Manager/Engineer has approved the subcontractor/supplier payments data for this period.

EDIT/SAVE/ CANCEL	SUBCONTRACTOR, DBE SUBCONTRACTOR, DBE TRUCKER, DBE SUPPLIER OR SERVICE PROVIDER	DBE?	SERVICE/WORK CLASSIFICATION	TOTAL SUB CONTRACT AMOUNT	DOLLAR VALUE OF SERVICE \$ COMPLETED	DEDUCTION \$	COM MEN T \$	ACTUAL AMOUNT PAID TO DATE
	ACTION TRAFFIC MAINTENANCE, INC.	<input type="checkbox"/>		\$2,854.25	\$830.00	\$6.30		\$623.70
	ANTIGO CONSTRUCTION, INC.	<input type="checkbox"/>		\$3,000.00	\$0.00	\$0.00		\$0.00
	CI CONTRACTING INC.	<input type="checkbox"/>		\$231,331.10				\$0.00
	D & S CONSTRUCTION, INC.	<input type="checkbox"/>		\$34,650.00	\$10,425.00	\$104.25		\$10,321.00
	FIORE ENTERPRISES LLC	<input type="checkbox"/>		\$782,885.25	\$775,851.00	\$0.00		\$775,850.61
	FMG CONCRETE CUTTING, INC.	<input type="checkbox"/>		\$3,850.00	\$2,475.00	\$24.75		\$2,450.25
	HEINZ TREE SERVICE, INC.	<input type="checkbox"/>		\$27,100.00	\$27,946.00	\$279.46		\$27,666.04
	J & M CONSTRUCTION CORPORATION	<input type="checkbox"/>		\$4,750.00				\$0.00
	MARX CONTRACTING, INC.	<input type="checkbox"/>		\$24,815.00	\$392.00	\$3.92		\$388.08
	MICHIGAN BARRICADING EQUIPMENT, INC.	<input checked="" type="checkbox"/>	TVR	\$5,181.75	\$3,192.00	\$31.92		\$3,160.08
	MICHIGAN HIGHWAY CONTRACTING, INC.	<input checked="" type="checkbox"/>	H	\$68,420.40	\$51,397.00	\$513.97		\$50,882.72
	MICHIGAN JOINT SEALING, INC.	<input type="checkbox"/>		\$14,570.00	\$0.00	\$0.00		\$0.00
	NATIONAL INDUSTRIAL MAINTENANCE, INC.	<input type="checkbox"/>		\$2,400.00	\$2,200.00	\$22.00		\$2,178.30
	P.K. CONTRACTING, INC.	<input type="checkbox"/>		\$19,875.00	\$15,055.00	\$150.55		\$14,904.89
	RAM CONSTRUCTION SERVICES OF MICHIGAN, I	<input type="checkbox"/>		\$5,000.00	\$0.00	\$0.00		\$0.00
	SERRATOS CONSTRUCTION, INC.	<input checked="" type="checkbox"/>	Ba	\$107,535.00	\$78,852.00	\$788.52		\$78,063.18
	TENMILE CREEK EXCAVATING, LLC	<input type="checkbox"/>		\$30,360.00	\$48,042.00	\$480.42		\$47,561.50

SUBCONTRACT SUMMARY FOR CONTRACT: 63459-113094

Printer-friendly version

Contractor: Ajax Paving Industries, Inc.**Surety:** Liberty Mutual Insurance**Location:** Commerce Road from Carroll Lake Road easterly to Union Lake Road**Description:** Road reconstruction and widening including hot mix asphalt cold milling and resurfacing, pavement removal and repairs.

Seq No	Parent Sub No	Sub Vendor	Sub Vendor Name	Received Date	Approved Date	Sub Status	DBE/WBE	SubCont Value
001		06903	Fiore Enterprises LLC	05/02/2012	N/A			\$782,885.25
002		05426	Heinz Tree Service, Inc.	05/02/2012	N/A			\$27,100.00
003		04376	D & S Construction, Inc.	05/02/2012	N/A			\$34,650.00
004		03231	Antigo Construction, Inc.	05/02/2012	N/A			\$3,000.00
005		01908	Action Traffic Maintenance, Inc.	05/02/2012	N/A			\$2,854.25
006		04582	Michigan Joint Sealing, Inc.	05/02/2012	N/A			\$14,570.00
007		08388	Michigan Highway Contracting, Inc.	05/02/2012	N/A		D+W	\$88,420.40
008		02503	Michigan Barricading Equipment, Inc.	05/02/2012	N/A		D+W	\$5,181.75
009		05757	Marx Contracting, Inc.	05/02/2012	N/A			\$24,815.00
010	06903	08217	Serratos Construction, Inc.	05/02/2012	N/A		DBE	\$107,535.00
011		08753	Tenmile Creek Excavating, LLC	05/02/2012	N/A			\$30,360.00
012		02551	RAM Construction Services of Michigan, I	05/02/2012	N/A			\$5,000.00
013		01684	P.K. Contracting, Inc.	05/02/2012	N/A			\$19,675.00
014		03938	National Industrial Maintenance - Michig	05/02/2012	N/A			\$2,400.00
015	06903	05536	J & M Construction Corporation	05/08/2012	N/A			\$4,750.00
016	06903	05703	CI Contracting Inc.	05/30/2012	N/A			\$231,331.10
017		05124	FMG Concrete Cutting, Inc.	06/01/2012	N/A			\$3,850.00

For questions or problems, contact:[Greg Frens](mailto:greg.frens@ajaxpaving.com): 517-335-2369or [Kim Farlin](mailto:kim.farlin@ajaxpaving.com): 517-373-2170.

Fax Number: 517-241-1454.

For insurance questions, contact [Kim Farlin](mailto:kim.farlin@ajaxpaving.com): 517-373-2170.

MDOT - Construction Contract Inquiry - DBE Commitment Summary

DBE Commitment Summary for Contract: 63459-113094

[Printer-friendly version](#)

Contractor: Ajax Paving Industries, Inc.

Award Value: \$2,196,738.65

DBE Participation %: 6 **DBE % as modified:** 6

Location: Commerce Road from Carroll Lake Road easterly to Union

DBE Vendor Name	Work Class	Work Class Narrative	Original Commitment Amount	Current Commitment Amount	Subcontract Value
Michigan Highway Contracting, Inc.	H	Landscaping	\$88,420.40		\$88,420.40
Serratos Construction, Inc.	Ba	Concrete Pavement Patching And Widening	\$107,535.00		\$107,535.00

For questions or problems, contact:

DBE Field Services via 866-323-1264 or

Nick Sundberg at SundbergN@michigan.gov or 517-241-4806.

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MDOT - 2124A Bi-Weekly Data Entry

Step #1: Select a Project and a Bi-Weekly Period Ending from the list and calendar control below. Click on the TextBox to open a Calendar control pop up. Then click Go.

Prime Contractors:

Construction Project:

Bi-Weekly Period Ending:

****Bi-Weekly Period: 11/4/2012 - 11/17/2012.****

Step #2: For each row, click the Edit (pencil) icon to edit, and Save icon to save changes.

NOTE: You can copy forward values from the last submitted report by checking the box at the bottom of the table.
If there are deductions, the comments column has a button which you can click to view the comment.

The Prime Contractor has submitted subcontractor/supplier payment data for this period.

The Project Manager/Engineer has approved the subcontractor/supplier payments data for this period.

EDIT/SAVE/ CANCEL	SUBCONTRACTOR, DBE SUBCONTRACTOR, DBE TRUCKER, DBE SUPPLIER OR SERVICE PROVIDER	DBE?	SERVICE/WORK CLASSIFICATION	TOTAL SUB CONTRACT AMOUNT	DOLLAR VALUE OF SERVICES COMPLETED	DEDUCTIONS	COM MEN TS	ACTUAL AMOUNT PAID TO DATE
	AL'S ASPHALT PAVING CO.	<input type="checkbox"/>		\$46,501.25	\$0.00	\$0.00		\$0.00
	C & D HUGHES, INC.	<input checked="" type="checkbox"/>		\$466,731.75	\$254,675.00	\$0.00		\$254,675.43
	EDWARD R. WHITE CONTRACTOR, INC.	<input type="checkbox"/>		\$144,210.00	\$59,532.00	\$595.32		\$58,936.67
	GREEN MEADOWS LAWNSCAPE, INC.	<input type="checkbox"/>		\$14,220.00	\$0.00	\$0.00		\$0.00
	<u>J & L SAND CO INC</u>	<input checked="" type="checkbox"/>	RJ	\$5,840.00	\$26,796.00	\$0.00		\$26,796.41
	MARC DUTTON IRRIGATION, INC.	<input type="checkbox"/>		\$1,150.00	\$0.00	\$0.00		\$0.00
	P.K. CONTRACTING, INC.	<input type="checkbox"/>		\$60,081.00	\$30,315.00	\$303.15		\$30,011.53
	POCO, INC.	<input type="checkbox"/>		\$11,920.97	\$10,380.00	\$103.60		\$10,256.08
	RAUHORN ELECTRIC, INC.	<input type="checkbox"/>		\$233,101.00	\$35,010.00	\$0.00		\$35,010.00
	SANCHES CONSTRUCTION CO.	<input checked="" type="checkbox"/>	Ba	\$101,710.00	\$135,145.00	\$1,351.45		\$133,793.31
	STATE BARRICADES, INC.	<input type="checkbox"/>		\$6,288.90	\$140.00	\$1.40		\$138.60

Ten Subcontracts
One Supplier (DBE)

PROJECT COMPLETE

Final Report: No ?

SUBCONTRACT SUMMARY FOR CONTRACT: 63459-115678[Printer-friendly version](#)**Contractor:** Ajax Paving Industries, Inc.**Surety:** Liberty Mutual Insurance**Location:** Pontiac Trail from Maple Road north to South Commerce and West Maple Road from Pontiac Trail to Gamma Road**Description:** Concrete pavement reconstruction, aggregate base conditioning, drainage, concrete curb, gutter, ramps.

Seq No	Parent Sub No	Sub Vendor	Sub Vendor Name	Received Date	Approved Date	Sub Status	DBE/WBE	SubCont Value
001		03515	Rauhorn Electric, Inc.	07/11/2012	N/A	DESP		\$233,101.00
002		01682	C & D Hughes, Inc.	07/09/2012	N/A			\$466,731.75
003		01684	P.K. Contracting, Inc.	07/09/2012	N/A			\$60,081.00
004		00382	Edward R. White Contractor, Inc.	07/09/2012	N/A			\$144,210.00
005		05101	Poco, Inc.	07/09/2012	N/A			\$11,920.97
006		05363	Al's Asphalt Paving Co.	07/09/2012	N/A			\$46,501.25
007		08222	State Barricades, Inc.	07/26/2012	N/A			\$6,288.90
008		01010	Sanches Construction Co.	07/26/2012	N/A		D+W	\$101,710.00
009		06619	Marc Dutton Irrigation, Inc.	08/02/2012	N/A			\$1,150.00
010		07119	Green Meadows Lawnscape, Inc.	10/23/2012	N/A			\$14,220.00

For questions or problems, contact:[Greg Frens](#): 517-335-2369or [Kim Farlin](#): 517-373-2170.

Fax Number: 517-241-1454.

For insurance questions, contact [Kim Farlin](#): 517-373-2170.[Search Again](#)



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Construction Contract Inquiry

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DBE Commitment Summary for Contract: 63459-115678

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Contractor: Ajax Paving Industries, Inc.
Award Value: \$2,150,174.01
DBE Participation %: 5 **DBE % as modified:** 5
Location: Pontiac Trail from Maple Road north to South Commerce and

DBE Vendor Name	Work Class	Work Class Narrative	Original Commitment Amount	Current Commitment Amount	Subcontract Value
J & L Sand Co Inc	RJ	Trucking Heavy Construction Materials	\$5,840.00		\$0.00
Sanches Construction Co.	Ba	Concrete Pavement Patching And Widening	\$101,710.00		\$101,710.00

For questions or problems, contact:
 DBE Field Services via 866-323-1264 or
 Nick Sundberg at SundbergN@michigan.gov or 517-241-4806.

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DBE's must sign off on final payments

PRIME CONTRACTOR BI-WEEKLY STATEMENT OF SUBCONTRACTOR/SUPPLIER PAYMENTS							
<i>Information required in accordance with 49 CFR part 26.37 (as detailed in the prompt payment provisions to monitor the progress of the prime contractor in meeting contractual DBE obligations.)</i>							
SEE INSTRUCTIONS ON REVERSE							
PRIME CONTRACTOR		CONTRACT ID		BI-WEEKLY PERIOD ENDING		PROJECT COMPLETION?	
AJAX PAVING INDUSTRIES, INC.		63459-115678		11/17/2012		Yes	
SUB CONTRACTOR, DBE SUBCONTRACTOR, DBE TRUCKER, DBE SUPPLIER OR SERVICE PROVIDER	SERVICE/WORK CLASSIFICATION	TOTAL SUB CONTRACT AMOUNT	DOLLAR VALUE OF SERVICES COMPLETED	DEDUCTIONS	ACTUAL AMOUNT PAID TO DATE	DBE AUTHORIZED SIGNATURE AT PROJECT COMPLETION AND FINAL ESTIMATE ONLY	DATE
AL'S ASPHALT PAVING CO.		\$46,501.25	\$0.00	\$0.00	\$0.00		
C & D HUGHES, INC.		\$466,731.75	\$254,675.00	\$0.00	\$254,675.43		
EDWARD R. WHITE CONTRACTOR, INC.		\$144,210.00	\$59,532.00	\$595.32	\$58,936.67		
GREEN MEADOWS LANDSCAPE, INC.		\$14,220.00	\$0.00	\$0.00	\$0.00		
I & L SAND CO INC	RJ	\$5,840.00	\$20,796.00	\$0.00	\$20,796.41		
MARC DUTTON IRRIGATION, INC.		\$1,150.00	\$0.00	\$0.00	\$0.00		
P.K. CONTRACTING, INC.		\$60,061.00	\$30,315.00	\$303.15	\$30,011.53		
POCO, INC.		\$11,920.97	\$10,360.00	\$103.60	\$10,256.08		
RAUHORN ELECTRIC, INC.		\$233,101.00	\$35,010.00	\$0.00	\$35,010.00		
SANCHES CONSTRUCTION CO	Ba	\$101,710.00	\$135,145.00	\$1,351.45	\$133,793.31		
STATE BARRICADES, INC.		\$6,268.90	\$140.00	\$1.40	\$136.60		
DEDUCTION COMMENTS							
line 3: Bonds.							
line 7: Bonds.							
<i>As the authorized representative of the above prime contractor, I certify that all payments to non-DBE truck drivers and non-DBE suppliers that have performed work or supplied materials to the prime during the reporting period have been made, and I certify that this information is true and accurate.</i>							
CONTRACTOR'S AUTHORIZED REPRESENTATIVE (SIGNATURE)				TITLE		DATE	
				DBE Compliance Administrator		11/16/2012 12:07:00 PM	
FOR THE MICHIGAN DEPARTMENT OF TRANSPORTATION USE ONLY							
COMMENTS							
RESIDENT/PROJECT ENGINEER (SIGNATURE)						DATE	
						11/29/2012 7:47:04 AM	



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Award Value: \$2,150,174.01
DBE Participation %: 5 **DBE % as modified:** 5
Location: Pontiac Trail from Maple Road north to South Commerce and

DBE Vendor Name	Work Class	Work Class Narrative	Original Commitment Amount	Current Commitment Amount	Subcontract Value
J & L Sand Co Inc	RJ	Trucking Heavy Construction Materials	\$5,840.00		\$0.00
Sanches Construction Co.	Ba	Concrete Pavement Patching And Widening	\$101,710.00		\$101,710.00

For questions or problems, contact:
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 Nick Sundberg at SundbergN@michigan.gov or 517-241-4806.

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Contractor Good Faith Effort

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Michigan Department
Of Transportation
0188 (06/10)

CONTRACTOR GOOD FAITH EFFORT APPLICATION

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This application is for consideration of a waiver or modification of the DBE contract goal. All supporting documentation and evidence of good faith efforts must be clearly labeled and submitted with this application, as specified below. Without such information, the application will be deemed incomplete and returned without review. The Department reserves the right to discuss the contents of the application with the applicant.

PART A – APPLICANT INFORMATION

APPLICANT NAME				
ADDRESS	STREET	CITY	STATE	ZIP CODE
PHONE NO.	FAX NO.	EMAIL ADDRESS		
CONTACT PERSON			TITLE	

PART B – PROJECT DESCRIPTION

LETTING DATE		LETTING ITEM NO.		
CONTRACT ID	JOB NO. & FEDERAL ID		<input type="checkbox"/> Attach copy of the MDOT Advertisement or eProposal home page for this item.	
ANTICIPATED START DATE (based on Progress Schedule)			EXPECTED COMPLETION DATE (based on Progress Schedule)	

PART C – WAIVER OR MODIFICATION REQUEST INFORMATION

POST CERTIFICATION OF SUBCONTRACT COMPLIANCE

INSTRUCTIONS: Contractor complete and retain original form. Submit a copy of form to Engineer for filing. Submittal of this form is required before release of retainage for those projects let prior to January 2006.

CONTRACT ID	CONTRACT AMOUNT (ORIGINAL)	DBE % PROPOSED
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I _____ of _____ do hereby certify that I have complied with all State and Federal requirements pertaining to subcontracting, including but not limited to the following:

- A. The Prime Contractor has performed not less than 40 percent of the original contract amount unless changed by special provision.
- B. The Prime Contractor has used only prequalified subcontractors (whether or not a subcontract is required) unless there was no prequalified category.
- C. The subcontractors have performed not less than 50 percent of the total value of the subcontract amount with the subcontractor's own organization.
- D. The Prime Contractor has met the project specific D.B.E. participation level requirement or received written approval for a good faith effort modification or waiver from MDOT's Office of Business Development.
- E. The Prime Contractor has used the designated subcontractors indicated on the Designated and Specialty sheet.
- F. All required subcontracts were properly executed and signed prior to any subcontract work beginning.
- G. The Prime Contractor has maintained all required insurances and bonds throughout the life of the contract.
- H. The subcontractor and the sub-subcontractor were prequalified, when applicable, in all work classes that were subcontracted or sub-subcontracted.

Work Types not Requiring Subcontracts
Delivery of Materials*

Delivery of Traffic Control Devices*

Broker Trucking*

*This type of work will not impact the 60% maximum allowable for subcontracting calculation. All other work will impact the 60% maximum allowable for subcontracting.

Work types not requiring subcontracts if the amount of work does not exceed \$25,000.00.

Flagging Operations
Stay in Place Forms

Shear Developers
Post Tensioning of Beams

Pavement Sweeping
Concrete Pumping
Destructive Testing of Materials

Work Not Performed by Prime Contractor					* See below	
Add Row	Sub to Sub	#	Work Performed by (including sub to sub work) If sub to sub also check box on left (see example below)	Original Amount	Final Amount Paid	
Delete Row	<input type="checkbox"/>	1				
Delete Row	<input type="checkbox"/>	2				
Delete Row	<input type="checkbox"/>	3				
Delete Row	<input type="checkbox"/>	4				
Delete Row	<input type="checkbox"/>	5				
Delete Row	<input type="checkbox"/>	6				
Delete Row	<input type="checkbox"/>	7				
Delete Row	<input type="checkbox"/>	8				
Grand Total:				\$0.00	\$0.00	
Percentage of actual DBE work performed (See Additional comments on page 1)						

*Please indicate the final amount paid or the amount that will ultimately be paid.

If some or all of the DBE % requirement was met through Delivery of Materials, please indicate how the DBE percentage was attained. If the DBE % proposed was not attained, please indicate the reason.

EXAMPLE Work Not Performed by Prime Contractor					*See below	
Add Row	Sub to Sub	#	Work Performed by (including sub to sub work) If sub to sub also check box on left (see example below)	Original Amount	Final Amount Paid	
Delete Row	<input checked="" type="checkbox"/>	1	Sub-Sub Name (Sub Name)	\$ Amount	\$ Amount	

This form contains interactive and dynamic features such as expandable text options and add/delete rows. You must have Adobe 7.0 or Newer to use these features.

Michigan Department
of Transportation
1386 (08/15)

POST CERTIFICATION OF SUBCONTRACT COMPLIANCE

Page 1 of 2

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Delete Row	<input checked="" type="checkbox"/>	1	Sub-Sub Name (Sub Name)	\$ Amount	\$ Amount

Reset Form



Chris Roe – MDOT
Subcontract Compliance
(517) 202-3716
Roc@michigan.gov

Thank You

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